

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): TODD C. ADELMANN

Confirmation No.:

Application No.:

Examiner:

Filing Date:

Group Art Unit:

Title: A STORAGE DEVICE HAVING A STORAGE CELL PROGRAMMABLE TO ONE OF MORE
THAN TWO STORAGE STATES

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the
pendency of this application, please charge any fees required or credit any overpayment to Deposit
Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with
any required copies of patents, publications or other information of which applicant(s) are aware, which
applicant(s) believe(s) may be material to the examination of this application and for which there may be
a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications
and other foreign language information listed on PTO Form 1449, as presently understood by the
individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the
attached sheet, or where a foreign language patent is cited in a search report or other action by a
foreign patent office in a counterpart foreign application, an English language version of the search
report or action which indicates the degree of relevance found by the foreign office is listed on form PTO
1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EL 990136511US**

Date of Deposit **02/19/2004**

I hereby certify that this is being deposited with the
United States Postal Service "Express Mail Post
Office to Addressee" service under 37 CFR 1.10 on
the date indicated above and is addressed to:
Commissioner for Patents, Alexandria, VA
22313-1450.

By

Typed Name: Dawn L Thomas

Respectfully submitted,

TODD C. ADELMANN

By

Dan C. Hu

Attorney/Agent for Applicant(s)
Reg. No. **40,025**

Date: **02/19/2004**

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200313668-1

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Todd C. Adelman

FILING DATE

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,473,361	10/29/2002	Chen et al.	
	1B	6,370,107	04/09/2002	Hosaka et al.	
	1C	6,233,206	05/15/2001	Hamann et al.	
	1D	5,345,815	09/13/1994	Albrecht et al.	
	1E	5,856,967	01/05/1999	Mamin et al.	
	1F	5,835,477	11/10/1998	Binnig et al.	
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Vettiger and Binnig, Scientific American, "The Nanodrive Project," pp. 47-51, 53 (January 2003).
	1R	U.S. Serial No. 10/619,199, entitled "Storage Device Having a Probe with Plural Tips," filed July 14, 2003, by Todd C. Adelman.
	1S	U.S. Serial No. 10/640,393, entitled "STORAGE DEVICE HAVING A PROBE WITH A TIP TO FORM A GROOVE IN A STORAGE MEDIUM," filed August 13, 2003, by Todd C. Adelman

EXAMINER

DATE CONSIDERED